

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

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|   |   |                        |                                     |
|---|---|------------------------|-------------------------------------|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) |   | Application Number     | 10/089131-Conf. #2506               |
|   |   | Filing Date            | March 25, 2002                      |
|   |   | First Named Inventor   | Tomoya HIDAKA                       |
|   |   | Art Unit               | 1621                                |
|   |   | Examiner Name          | S. Kumar                            |
| Total Number of Pages in This Submission  | 1 | Attorney Docket Number | NIW-011USRCE<br>(Formerly 1576.100) |

**ENCLOSURES (Check all that apply)**

|  |  |   |
|--|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><br><input type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment/Reply<br><br><input type="checkbox"/> After Final<br><br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Reply to Missing Parts/Incomplete Application<br><br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><br><input checked="" type="checkbox"/> Power of Attorney, and Correspondence Address Indication Form<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) _____<br><br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><br>Form PTOL-85<br>Statement Under 37 CFR 3.73(b)<br>Request for Corrected Filing Receipt<br>Marked-Up Copy of Filing Receipt<br>Request for Change of Attorney Docket No.<br>Return Receipt Postcard |
| <b>Remarks</b>   |  |   |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|              |                         |          |        |
|--------------|-------------------------|----------|--------|
| Firm Name    | LAHIVE & COCKFIELD, LLP |          |        |
| Signature    |                         |          |        |
| Printed name | Danielle L. Herritt     |          |        |
| Date         | January 5, 2006         | Reg. No. | 43,670 |



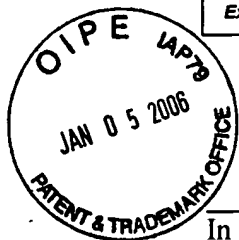
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|   |  |                          |                                     |
|---|--|--------------------------|-------------------------------------|
| <b>Effective on 12/08/2004.</b><br><b>Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).</b><br><b>FEE TRANSMITTAL</b><br><b>For FY 2005</b> |  | <b>Complete if Known</b> |                                     |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27  |  | Application Number       | 10/089131-Conf. #2506               |
|   |  | Filing Date              | March 25, 2002                      |
|   |  | First Named Inventor     | Tomoya HIDAKA                       |
|   |  | Examiner Name            | S. Kumar                            |
|   |  | Art Unit                 | 1621                                |
|   |  | Attorney Docket No.      | NIW-011USRCE<br>(Formerly 1576.100) |
| <b>TOTAL AMOUNT OF PAYMENT</b>  |  | <b>(\$)</b>              | <b>30.00</b>                        |

|   |   |
|---|---|
| <b>METHOD OF PAYMENT (check all that apply)</b>   |   |
| <input type="checkbox"/> Check  | <input type="checkbox"/> Credit Card  |
| <input type="checkbox"/> Money Order  | <input type="checkbox"/> None   |
| <input type="checkbox"/> Other (please identify): _____   |   |
| <input checked="" type="checkbox"/> Deposit Account   | Deposit Account Number: <u>12-0080</u> Deposit Account Name: <u>Lahive &amp; Cockfield, LLP</u> |
| For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)                |   |
| <input checked="" type="checkbox"/> Charge fee(s) indicated below   | <input type="checkbox"/> Charge fee(s) indicated below, <b>except for the filing fee</b>        |
| <input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 | <input checked="" type="checkbox"/> Credit any overpayments                                     |

|   |                     |   |                      |                                  |                         |                              |                       |
|---|---------------------|---|----------------------|----------------------------------|-------------------------|------------------------------|-----------------------|
| <b>FEE CALCULATION</b>  |                     |   |                      |                                  |                         |                              |                       |
| <b>1. BASIC FILING, SEARCH, AND EXAMINATION FEES</b>  |                     |   |                      |                                  |                         |                              |                       |
|   | <b>FILING FEES</b>  |   | <b>SEARCH FEES</b>   |                                  | <b>EXAMINATION FEES</b> |                              |                       |
| <b>Application Type</b>   | <b>Fee (\$)</b>     | <b>Small Entity Fee (\$)</b>                            | <b>Fee (\$)</b>      | <b>Small Entity Fee (\$)</b>     | <b>Fee (\$)</b>         | <b>Small Entity Fee (\$)</b> | <b>Fees Paid (\$)</b> |
| Utility   | 300                 | 150   | 500                  | 250                              | 200                     | 100                          |                       |
| Design  | 200                 | 100   | 100                  | 50                               | 130                     | 65                           |                       |
| Plant   | 200                 | 100   | 300                  | 150                              | 160                     | 80                           |                       |
| Reissue   | 300                 | 150   | 500                  | 250                              | 600                     | 300                          |                       |
| Provisional   | 200                 | 100   | 0                    | 0                                | 0                       | 0                            |                       |
| <b>2. EXCESS CLAIM FEES</b>   |                     |   |                      |                                  |                         |                              |                       |
| <b>Fee Description</b>  | <b>Fee (\$)</b>     | <b>Small Entity Fee (\$)</b>                            |                      |                                  |                         |                              |                       |
| Each claim over 20 (including Reissues)   | 50                  | 25  |                      |                                  |                         |                              |                       |
| Each independent claim over 3 (including Reissues)  | 200                 | 100   |                      |                                  |                         |                              |                       |
| Multiple dependent claims   | 360                 | 180   |                      |                                  |                         |                              |                       |
| <b>Total Claims</b>   | <b>Extra Claims</b> | <b>Fee (\$)</b>   | <b>Fee Paid (\$)</b> | <b>Multiple Dependent Claims</b> |                         |                              |                       |
| _____ - 20 = _____  | x _____             | = _____   |                      | <b>Fee (\$)</b>                  | <b>Fee Paid (\$)</b>    |                              |                       |
| <b>Indep. Claims</b>  | <b>Extra Claims</b> | <b>Fee (\$)</b>   | <b>Fee Paid (\$)</b> |                                  |                         |                              |                       |
| _____ - 3 = _____   | x _____             | = _____   |                      |                                  |                         |                              |                       |
| <b>3. APPLICATION SIZE FEE</b>  |                     |   |                      |                                  |                         |                              |                       |
| If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). |                     |   |                      |                                  |                         |                              |                       |
| <b>Total Sheets</b>   | <b>Extra Sheets</b> | <b>Number of each additional 50 or fraction thereof</b> | <b>Fee (\$)</b>      | <b>Fee Paid (\$)</b>             |                         |                              |                       |
| _____ - 100 = _____   | /50                 | _____ (round up to a whole number) x _____              | = _____              |                                  |                         |                              |                       |
| <b>4. OTHER FEE(S)</b>  |                     |   |                      |                                  |                         |                              |                       |
|   |                     |   |                      |                                  |                         |                              | <b>Fees Paid (\$)</b> |
| Non-English Specification, \$130 fee (no small entity discount)   |                     |   |                      |                                  |                         |                              |                       |
| Other (e.g., late filing surcharge): 8001 Printed copy of patent w/o color  |                     |   |                      |                                  |                         |                              | 30.00                 |

|                     |                     |                                   |                 |
|---------------------|---------------------|-----------------------------------|-----------------|
| <b>SUBMITTED BY</b> |                     |                                   |                 |
| Signature           |                     | Registration No. (Attorney/Agent) | 43,670          |
| Name (Print/Type)   | Danielle L. Herritt | Telephone                         | (617) 227-7400  |
|                     |                     | Date                              | January 5, 2006 |



Express Mail Label No. EV 682 327 119 US Dated: January 5, 2006

Docket No.: **NIW-011USRCE**  
(Formerly 1576.100)  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Tomoya Hidaka *et al.*

Application No.: 10/089131

Confirmation No.: 2506

Filed: March 25, 2002

Art Unit: 1621

For: PHENOL COMPOUNDS AND RECORDING  
MATERIALS USING THE SAME

Examiner: S. Kumar

**REQUEST FOR CHANGE OF ATTORNEY DOCKET NUMBER**

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The Attorney Docket Number of the above-identified patent application has changed.  
Please take notice that the Attorney Docket Number for this application should now be as follows:

**NIW-011USRCE**

Please reference **NIW-011USRCE** on all future correspondence.

Dated: January 5, 2006

Respectfully submitted,

By 

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